## INFORMATIONAL REPORT ON FREQUENCY OF CITINGS OF ACCREDITATION STANDARDS FOR ADVANCED DENTAL EDUCATION PROGRAMS IN PERIODONTICS

<u>Background</u>: The Accreditation Standards for Advanced Dental Education Programs in Periodontics were approved by the Commission on Dental Accreditation at its January 2013 meeting and were implemented on January 1, 2014. Since that date, 77 site visits have been conducted by visiting committees of the Commission utilizing the January 2014 Standards. At the time of this report, the Standards include 91 "must" statements addressing 177 required areas of compliance. This report presents the number of times areas of non-compliance were cited by visiting committees conducting site visits from January 1, 2014, through October 31, 2023. If special (focused or comprehensive), pre-enrollment, or pre-graduation site visits were conducted during this period, citings from those visits are also included.

Analysis: The distribution of citings is presented in Appendix 1. There were 27 citings total. The most frequently cited standard, with seven (7) citings, occurred under Standard 4-11b, related to clinical training to the level of competency in adult minimal enteral and moderate parenteral sedation as prescribed by the ADA Guidelines for Teaching Pain Control and Sedation to Dentists and Dental Students. Four (4) citings occurred under Standard 4-10.2d regarding provisionalization of dental implants. Two (2) citings were noted under Standard 4-11a, related to in-depth knowledge in all areas of minimal, moderate and deep sedation as prescribed by the ADA Guidelines for Teaching Pain Control and Sedation to Dentists and Dental Students. There were also two (2) citings under Standard 4-13.1, which states that the use of private office facilities not affiliated with a university as a means of providing clinical experiences is not approved. There were no (0) citings in Standard 1 Institutional Commitment/Program Effectiveness; Standard 2 Program Director and Teaching Staff; Standard 3 Facilities and Resources, and Standard 6 Research.

<u>Summary</u>: The Commission will continue to receive reports annually summarizing the updated data on the frequency of citings of individual Standards.

**Recommendation:** This report is informational in nature and no action is required.

Prepared by: Dr. Yesenia Ruiz

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## ACCREDITATION STANDARDS FOR ADVANCED DENTAL EDUCATION PROGRAMS IN PERIODONTICS

#### Frequency of Citings Based on Required Areas of Compliance

Total Number Programs Evaluated: 77 January 1, 2014 through October 31, 2023

## <u>STANDARD 1 – INSTITUTIONAL COMMITMENT/PROGRAM EFFECTIVENESS – 23 Required Areas of Compliance</u>

## <u>STANDARD 2 – PROGRAM DIRECTOR & TEACHING STAFF</u> – 22 Required Areas of Compliance

#### STANDARD 3 – FACILITIES AND RESOURCES – 13 Required Areas of Compliance

## <u>STANDARD 4 – CURRICULUM AND PROGRAM DURATION</u> – 96 Required Areas of Compliance

Noncompliance Citings	Accreditation Standard	Required Area of Compliance
	4-8	The educational program must provide clinical training for the student/resident to the level of competency. This must include, but is not limited to, the following treatment methods for health, comfort, function and esthetics:
1	4-8a	<ul> <li>a. Nonsurgical management of periodontal diseases, including:</li> <li>1. Biofilm control;</li> <li>2. Mechanical scaling and root planing therapy;</li> <li>3. Local and systemic adjunctive therapy; and</li> <li>4. Occlusal therapy.</li> </ul>
1	4-8b	b. Surgical management of periodontal diseases and conditions, including:  1. Resective surgery, including gingivoplasty, gingivectomy, periodontal flap procedures, osteoplasty, ostectomy, and tooth/root resection;  2. Regenerative and reparative surgery including osseous grafting, guided tissue regeneration, the use of biologics, and utilization of tissue substitutes, where appropriate; and

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		3. Periodontal plastic and esthetic surgery techniques including gingival augmentation, root coverage procedures and crown lengthening surgery.
1	4-8c	c. Tooth extraction in the course of periodontal and implant therapy.
	4-10.2	Clinical training in dental implant therapy to the level of competency must include:
1	4-10.2a	a. Implant site development to include hard and soft tissue preservation and reconstruction, including ridge augmentation and sinus floor elevation;
1	4-10.2b	b. Surgical placement of implants; and
1	4-10.2c	c. Management of peri-implant tissues in health and disease.
4	4-10.2d	d. Provisionalization of dental implants.
	4-11	The educational program <b>must</b> provide training for the student/resident in the methods of pain and anxiety control to achieve:
2	4-11a	In-depth knowledge in all areas of minimal, moderate and deep sedation as prescribed by the ADA Guidelines for Teaching Pain Control and Sedation to Dentists and Dental Students; and
7	4-11b	Clinical training to the level of competency in adult minimal enteral and moderate parenteral sedation as prescribed by the ADA Guidelines for Teaching Pain Control and Sedation to Dentists and Dental Students.
2	4-13.1	The use of private office facilities not affiliated with a university as a means of providing clinical experiences in advanced dental education is not approved.

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## <u>STANDARD 5 – ADVANCED DENTAL EDUCATION STUDENTS/RESIDENTS – 20 Required Areas of Compliance</u>

Noncompliance	Accreditation	Required Area of Compliance
Citings	<u>Standard</u>	
		A system of ongoing evaluation and advancement <b>must</b>
		ensure that, through the director and faculty, each program:
1	5a, Evaluation	Periodically, but at least semiannually, assesses the
		progress toward (formative assessment) and achievement
		of (summative assessment) the competencies for the
		discipline using formal evaluation methods.
1	5b, Evaluation	Provides to students/residents an assessment of their
		performance, at least semiannually;
1	5c, Evaluation	Advances students/residents to positions of higher
		responsibility only on the basis of an evaluation of their
		readiness for advancement; and
1	5d, Evaluation	Maintains a personal record of evaluation for each
		student/resident which is accessible to the student/resident
		and available for review during site visits.
1	5-1	Written criteria for evaluating the quality of a
		student's/resident's performance <b>must</b> be used.
1	5-1.2	Evaluation results <b>must</b> be provided to students/residents
		in writing.

<u>STANDARD 6 – RESEARCH</u> – 3 Required Areas of Compliance

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#### CONSIDERATION OF PROPOSED REVISIONS TO ACCREDITATION STANDARDS FOR ADVANCED DENTAL EDUCATION PROGRAMS RELATED TO SPONSORING INSTITUTION AND AUTHORITY TO OPERATE

**Background:** At its Winter 2022 meeting, the Commission on Dental Accreditation (CODA) directed the formation of an Ad Hoc Committee of all advanced dental education Commissioners to consider the changing landscape of health care delivery centers that may sponsor advanced dental education programs. The Ad Hoc Committee, which met on December 5, 2022 and January 25, 2023, was charged with two (2) primary considerations: 1) the topic of institutional sponsor, whether a sponsor is an academic institution, hospital, or health care organization, and 2) review of the standard found in some advanced dental education disciplines that requires the sponsor to have proper chartering/licensure to operate and offer instruction leading to a degree, diploma or certificate with recognized education validity.

<u>Institutional Sponsor (Health Care Organizations)</u>: The Ad Hoc Committee discussed the types of institutions that may sponsor advanced dental education programs. The Committee was reminded that CODA holds United States Department of Education (USDE) recognition as a programmatic accrediting agency; therefore, all educational standards within CODA's purview include a requirement for institutional sponsor accreditation/recognition to ensure institutional oversight by an external agency. Regarding CODA's USDE recognition, it was noted there would be no concern in modifying the Standards with regard to institutional accreditation/recognition.

It was also noted that in five (5) of the 14 advanced dental education programs within the Commission's purview, the Standards permit the program's sponsor to be an educational institution, hospital, or health care organization (with/without affiliation with an accredited hospital, as specified in the Standards). In the remaining nine (9) advanced education disciplines, the sponsor must be an educational institution or hospital. All standards permit United States military programs to sponsor advanced dental education programs, as specified in the Standards.

The Ad Hoc Committee discussed the issue of institutional sponsor given current Health Resources and Services Administration (HRSA) grant opportunities for health care organizations that may sponsor advanced dental education programs. The Ad Hoc Committee discussed the term "health care organization" at length, including the type of entity that may be classified within this category and whether a definition of health care organization should be included in the CODA Standards. The Committee believed that a definition should be included in the Commission's Definition of Terms, to ensure clarity and transparency in the type of organization that is permitted to sponsor an advanced dental education program, for those standards that currently include the term "health care organization" and those where the term may be adopted and implemented at a future date.

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While discussing health care organizations that may sponsor advanced dental education programs, there continued to be discussion and concern that these sponsors have appropriate educational validity and expertise to carry out an academic program at the postdoctoral level. The Ad Hoc Committee considered whether all health care organizations should also have an affiliation with an academic institution to ensure educational quality. In discussion, it was noted that affiliations may exist (absent a need for co-sponsorship); however, many health care organizations currently offering CODA-accredited advanced dental education programs are not directly affiliated with academic institutions.

The Ad Hoc Committee determined that a definition of "Health Care Organization" and potential inclusion of "health care organization" as an acceptable sponsoring institution warrant further input from the Commission's Review Committees to provide comment on the potential definition and inclusion of this term within their discipline-specific standards.

At the Commission's Winter 2023 meeting, and following consideration of the Ad Hoc Committee's recommendation, the Commission directed circulation of the proposed Definition of Terms for Health Care Organization and proposed revision to Standards related to institutional sponsors to include health care organizations be circulated to all Review Committees in Advanced Dental Education for consideration at the Summer 2023 Commission meetings, with a report to the Commission in Summer 2023. The Commission noted that the Review Committees should provide comment on the potential definition and inclusion of this term within their discipline-specific standards.

<u>Charter/License to Operate and Offer Instruction</u>: The Ad Hoc Committee also considered the current language in nine (9) advanced dental education programs' Accreditation Standards, which states: "Advanced dental education programs must be sponsored by institutions, which are properly chartered, and licensed to operate and offer instruction leading to degrees, diplomas or certificates with recognized education validity."

The Committee noted that the advanced dental education Standards for advanced education in general dentistry, dental anesthesiology, general practice residency, oral medicine, and orofacial pain do not currently include this requirement or an equivalent Standard. These five (5) disciplines recently reviewed their Accreditation Standards documents and tabled the discussion regarding inclusion of this requirement pending final recommendations of this Ad Hoc Committee and the Commission.

Through discussion, the Ad Hoc Committee noted that words such as "chartered," "licensed," and "validity" have very distinct legal meanings. The term "authorization" is often used in higher education to indicate that an institution can confer a degree. Chartering and licensing often have to do with legal entities and do not necessarily indicate authority to award a degree, diploma or certificate with recognized education validity. The Ad Hoc Committee also noted the

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confusion related to this requirement from both the institution's/program's perspective and that of the CODA site visitor.

The Ad Hoc Committee believed the intent of this Standard is to ensure educational validity, which in dental education is granted through the accreditation process undertaken by the Commission on Dental Accreditation. Additionally, the conferring of a degree is mandated through institutional accreditation, while conferring of a post-doctoral certificate or diploma is a state or federal function.

Following lengthy discussion, the Ad Hoc Committee concluded that the intent of the requirement is to ensure that the sponsoring organization has the appropriate authority to operate and, as applicable, the necessary approvals to award either a certificate or a degree. As such, the Ad Hoc Committee believed that the prior requirement should be stricken from all advanced dental education Standards and replaced with a new requirement, which states (underline indicates addition): Advanced dental education programs conferring a certificate must have state or federal approval to operate and, as applicable, to confer a certificate. Advanced dental education programs conferring a degree must have institutional accreditation and authority to confer a degree. The Committee noted that an advanced dental education program conferring a certificate must have state or federal approval to operate and, if needed based on its specific jurisdiction (i.e., state or federal regulations), it may also need approval to award a certificate. Likewise, an advanced dental education program awarding a degree will be required to show institutional accreditation providing it the authority to do so.

At the Commission's Winter 2023 meeting, and following consideration of the Ad Hoc Committee's report, the Commission directed that the proposed revision related to chartering and licensure to operate be circulated to all Review Committees in Advanced Dental Education for consideration at the Summer 2023 Commission meetings, with a report to the Commission in Summer 2023.

<u>Summer 2023 Review Committee and Commission Meetings:</u> At its Summer 2023 meeting, as directed by the Commission, the Review Committee on Periodontics Education (PERIO RC) considered the proposed revision to the Accreditation Standards related to the Definition of Terms for Health Care Organization and to chartering and licensure to operate. The Review Committee considered the proposed revisions related to institutional sponsorship of programs. The PERIO RC discussed the history of the Ad Hoc Committee and rationale for the development of the proposed revisions.

Following consideration, the PERIO RC recommended that the Commission direct circulation of the proposed revisions found in **Appendix 1**, to the communities of interest for review and comment for one (1) year, with Hearings conducted in conjunction with the October 2023 American Dental Association (ADA) Annual Meeting and the March 2024 American Dental Education Association (ADEA) Annual Session with comments reviewed by the Review

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Committee and Commission at its Summer 2024 meetings. At its Summer 2023 meeting, the Commission on Dental Accreditation concurred with the recommendations of the Review Committee and directed circulation of the proposed revisions to the communities of interest for review and comment for one (1) year.

Summer 2024 Review Committee and Commission Meetings: As directed by the Commission, the proposed revisions to the Accreditation Standards for Advanced Dental Education Programs in Periodontics (Appendix 1) were circulated for comment through June 1, 2024. The Commission received no (0) comments at the virtual hearing in conjunction with the ADA Annual Meeting. The Commission received no (0) comments at the virtual hearing in conjunction with the ADEA Annual Session. The Commission office received one (1) written comment prior to the June 1, 2024 deadline.

<u>Summary</u>: At its Summer 2024 meeting, the Review Committee on Periodontics Education (PERIO RC) is requested to consider the proposed revision to the Accreditation Standards related to the Definition of Terms for Health Care Organization and to chartering and licensure to operate (**Appendix 1**), and all comments received by the Commission prior to the June 1, 2024 deadline (**Appendix 2**). If further revisions are warranted, the Commission may wish to circulate the modified revisions to the communities of interest for an additional comment period. Alternatively, if the proposed revisions are adopted, the Commission may wish to consider an implementation date.

#### **Recommendation:**

Prepared by Dr. Sherin Tooks, Ms. Peggy Soeldner, and Dr. Yesenia Ruiz

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### **Commission on Dental Accreditation**

At its Summer 2023 meeting, the Commission directed that the proposed revisions to Accreditation Standards for Advanced Dental Education Programs in Periodontics be distributed to the appropriate communities of interest for review and comment, with comment due <u>June 1, 2024</u>, for review at the Summer 2024 Commission meeting.

Written comments will only be accepted through the Commission's Electronic Comment Submission Portal at this link: <a href="https://surveys.ada.org/jfe/form/SV">https://surveys.ada.org/jfe/form/SV</a> b45NxzExHvE6FQ9

Additions are <u>Underlined</u>
Strikethroughs indicate Deletions

# Accreditation Standards for Advanced Dental Education Programs in Periodontics

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# PROPOSED REVISIONS TO ACCREDITATION STANDARDS FOR ADVANCED DENTAL EDUCATION PROGRAMS RELATED TO SPONSORING INSTITUTION AND AUTHORITY TO OPERATE

Additions are underlined; Deletions are stricken

## <u>PROPOSED REVISIONS FOR ALL ADVANCED DENTAL EDUCATION</u> <u>STANDARDS</u>:

#### **Definition of Terms:**

Health Care Organization: A Federally Qualified Health Center (FQHC), Indian Health Service (IHS), Veterans Health Administration system (VA), or academic health center/medical center/ambulatory care center (both public and private) that is accredited by an agency recognized by the United States Department of Education or accredited by an accreditation organization recognized by the Centers for Medicare and Medicaid Services (CMS).

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PROPOSED REVISIONS FOR STANDARD 1 FOR DENTAL PUBLIC HEALTH, ENDODONTICS, ORAL AND MAXILLOFACIAL PATHOLOGY, ORAL AND MAXILLOFACIAL RADIOLOGY, ORTHODONTICS AND DENTOFACIAL ORTHOPEDICS (RESIDENCY), ORAL AND MAXILLOFACIAL SURGERY (RESIDENCY), PEDIATRIC DENTISTRY, PERIODONTICS, AND PROSTHODONTICS:

#### Standard 1-Institutional Commitment/Program Effectiveness

Advanced dental education programs must be sponsored by institutions, which are properly chartered, and licensed to operate and offer instruction leading to degrees, diplomas or certificates with recognized education validity. Hospitals that sponsor advanced dental education programs must be accredited by an accreditation organization recognized by the Centers for Medicare and Medicaid Services (CMS). Educational institutions that sponsor advanced dental education programs must be accredited by an agency recognized by the United States Department of Education. Health care organizations that sponsor advanced dental education programs must be accredited by an agency recognized by the United States Department of Education or accredited by an accreditation organization recognized by the Centers for Medicare and Medicaid Services (CMS). The bylaws, rules and regulations of hospitals or health care organizations that sponsor or provide a substantial portion of advanced dental education programs must assure that dentists are eligible for medical staff membership and privileges including the right to vote, hold office, serve on medical staff committees and admit, manage and discharge patients.

United States military programs not sponsored or co-sponsored by military medical treatment facilities, United States-based educational institutions, hospitals or health care organizations accredited by an agency recognized by the United States Department of Education or accredited by an accreditation organization recognized by the Centers for Medicare and Medicaid Services (CMS) **must** demonstrate successful achievement of Service-specific organizational inspection criteria.

#### Examples of evidence to demonstrate compliance may include:

- Accreditation certificate or current official listing of accredited institutions from a United States Department of Education recognized accreditation organization
- Evidence of successful achievement of Service-specific organizational inspection criteria
- Accreditation certificate or current official listing of accredited institution from an accreditation organization recognized by the Centers for Medicare and Medicaid Services (CMS). For example: Accreditation Association for Ambulatory Health Care (AAAHC); Accreditation Commission for Health Care, Inc. (ACHC); American Association for Accreditation of Ambulatory Surgery Facilities (AAAASF); American Osteopathic Association Healthcare Facilities Accreditation Program (AOA/HFAP); Center for

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Improvement in Healthcare Quality (CIHQ); Community Health Accreditation Program (CHAP); DNV GL-Healthcare (DNV GL); National Dialysis Accreditation Commission (NDAC); The Compliance Team (TCT); The Joint Commission (JC).

Advanced dental education programs conferring a certificate **must** have state or federal approval to operate and, as applicable, to confer a certificate. Advanced dental education programs conferring a degree **must** have institutional accreditation and authority to confer a degree.

#### **Examples of evidence to demonstrate compliance may include:**

- State license or federal authority documenting the institution's approval to operate and confer a credential
- Institutional accreditation indicating approval to confer a degree

Q1. The Commission on Dental Accreditation directed that the proposed revisions of Accreditation Standards for Periodontics Education programs be distributed to the communities of interest for review and comment. The document is available at the <a href="Commission website: https://coda.ada.org/standards#proposed-standards">Commission website: https://coda.ada.org/standards#proposed-standards</a>

All communities of interest are invited to submit comment on the proposed revision through the link below. Please note that by submitting a comment you agree to the Commission publishing your name, title, affiliation and comment in a public forum through its policy reports at the meeting during which comments will be considered. The Commission will neither publish your contact information nor follow up with you related to the comment, including its successful or unsuccessful submission. Further, the Commission reserves the right to redact inappropriate and/or unprofessional comments.

Click next to submit a comment.

First Name

Q2. Plea	ise com	plete ti	he rea	uested ii	nformatio	n
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Last Name	Puzan
Email	
Title	Manager, Dental Education & Licensure

Q3. Please select one of the following options that best describes you or your organization:

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Ocllege/University				
O Dental or Dental-Relate	Dental or Dental-Related Education Program			
Federal Agency				
O Dental Organization/De	ental Association			
O Dental/Healthcare Prof	essional			
O State Licensing Board				
Certifying Board/Organ	ization			
Student (dental, allied dental or advanced dental)				
O Member of the Public				
Other (Please specify)	Council on Dental Education and Licensure			

<ul><li>Yes. Please enter the name of your organization below.</li><li>No. This is a personal comment.</li></ul>	Council on Dental Education and Licensure (CDEL)	Page 1301 Appendix 2 Subpage 2 Proposed Revisions to Periodontics Standards
$\mathbb{Q}5$ . Enter the Standard number(s), page(s) and line(s) to	o which you would like to	Periodontics RC CODA Summer 2024
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Accreditation Standards for Advanced Dental Education Pro	grams in Periodontics	
Q6. Do you agree with the proposed revision?		
, ,		
Agree		
O Disagree		
Q7. Enter your comment. Type or copy and pas	te in the text box be	low.
Council on Dental Education and Licensure is to act as the a and allied dental education programs. Accordingly, at its Jar	agency of the Association in agency of the Association in agency 2024 meeting, the Co	cation and Licensure by Dr. Najia Usman, chair: A duty of the ADA in matters related to the accreditation of dental, advanced dental buncil considered and supported the proposed changes to the Council appreciates the opportunity to submit comment on this
Q8. Do you have additional comment?		
YES, I have additional comment.		
I have NO additional comment and ready to submit.		
$\mathbb{Q}9.$ Enter the Standard number(s), page(s) and line(s) to	o which you would like to	o comment.
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Q10. Do you agree with the proposed revision?		
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२11. Enter your comment. Type or copy and pa	ste in the text box b	elow.
This question was not displayed to the respondent.		

Q12. Do you have additional comment?